

# SUSTAINABLE FISHERIES MANAGEMENT PROJECT (SFMP)

# Leadership in Fisheries Management Course Curriculum

August 2015



















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# Acronyms

| CRC     | Coastal Resources Center/The University of Rhode Island  |
|---------|--|
| CSO     | Civil Society Organization                               |
| DFAS    | Department of Fisheries and Aquatic Sciences (UCC)       |
| EBM     | Ecosystem based management                               |
| FoN     | Friends of the Nation                                    |
| GIS     | Geographic Information Systems                           |
| GOG     | Government of Ghana                                      |
| HM      | Hen Mpoano   |
| MOFAD   | Ministry of Fisheries and Aquaculture Development        |
| NCFC    | National Canoe Fishermen's Council                       |
| PHU     | Post-Harvest Unit of the Fisheries Commission            |
| SFMP    | Sustainable Fisheries Management Project (USAID/URI-CRC) |
| UCC/CCM | University of Cape Coast/ Center for Coastal Management  |
| WARFP   | West Africa Regional Fisheries Project (World Bank)      |
|         |  |

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# CURRICULUM for the LEADERSHIP IN FISHERIES MANAGEMENT COURSE

#### COURSE DESCRIPTION

The Leadership in Fisheries Management Course is a two-week intensive program for Ghanaians pursuing the management of more ecologically sustainable, economically strong and resilient fisheries. Working in teams, participants will leave the course with a draft action plan for developing a strategy to manage Ghana's small pelagic fishery. This practical course will follow two national dialogues on fisheries management occurring in early 2015. These activities are components of USAID/Ghana's Sustainable Fisheries Management Project (SFMP), led by the University of Rhode Island with support from Ghana's Fisheries Commission and a consortium of partners. Applying a bottom-up approach based on an ecosystem-wide perspective to fisheries management is the overarching theme of this leadership development experience. Participants will explore new and innovative concepts in fisheries management with a focus on small pelagics fisheries and will draw lessons from case studies, such as sardine fisheries management in the Philippines and other management models around the world.

Subject matter covered includes:

- Building successful co---management institutions and implementation strategies
- Developing fisheries management plans with clear objectives and target reference points and directions to address fishing overcapacity and to rebuild overfished stocks
- Applying innovative approaches and tools including harvest controls, managed access and MPAs Influencing governance and legal systems
- Practicing empowerment and leadership

#### **GOALS and LEARNING OBJECTIVES**

The main goal of this course is to enable inter-organizational teams in Ghana to develop a strategy to implement the small pelagics fisheries management plan as a follow-up exercise from two national dialogues occurring in 2015.

The objective of this course is to develop a core leadership team to move small pelagics fisheries management planning forward in Ghana. Competences in fisheries management and leadership will be strengthened to ensure the team has the foundational capacity to engage a wider stakeholder group in the planning of early actions for management of the small pelagics fishery.

By then end of this course, participants should be able to:

- Explain the variety of actors engaged in the fisheries system and how these actors interact in policy and practice
- Explain the concept of co-management, identify where Ghana is at currently within that concept and how the country could take steps towards a larger embrace of comanagement approaches
- Identify key elements of a quality fisheries management plan with clear objectives
- Analyze a conflict through the mutual gains approach to negotiations to determine the needs of all parties and potential third ways to move the negotiations forward

- Design and facilitate a participatory stakeholder meeting related to fisheries management
- Identify personal leadership qualities and challenges to better themselves as they engage in fisheries management

#### **CURRICULUM**

#### **Central Themes**

#### Systems-Based Approach to Fisheries and Co-Management

The application of systems, both social and ecological, approach to fisheries management was an overarching theme of this course. This combines the ecosystem based management (EBM) thinking to fisheries management along with the larger social system of the fishing business and governance. The purpose of systems-based approach is to plan, develop and manage fisheries in a manner that addresses the multiple needs and desires of society without jeopardizing the options for future generations to benefit from the full range of goods and services provided by the marine ecosystem. Central to this is the co-management concept. There are many options for implementing a co-management approach which needs to be tailored to the local context. To have success in co-management, there needs to be an understanding and engagement from the whole fisheries system beyond the fishermen and government.

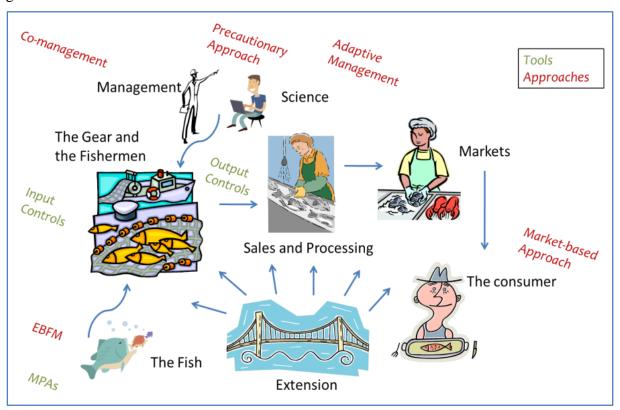


Figure 1 Tools and Approaches

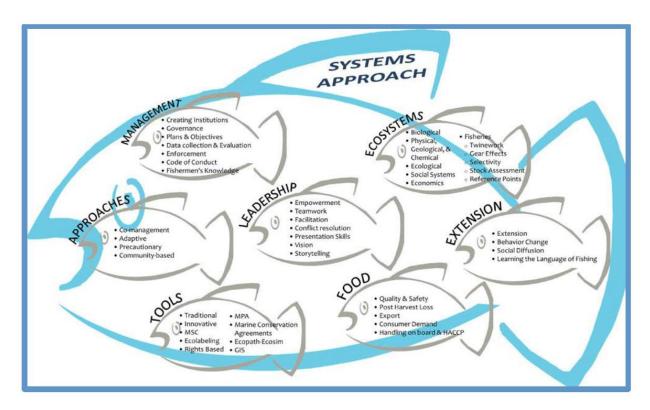


Figure 2 A Systems Approach

#### Leadership

The course is based on leadership development, focusing on transformational change at the individual through societal levels. As we move away from the failed fisheries paradigm of increasing effort, diminishing economic return and overfished stocks, a new vision of fishing is emerging – of catching less fish with greater value, less effort, and less habitat alteration. The changes occurring in the fisheries revolve around the shift from short to long term views; acknowledgement that these resources are limited yet renewable; that fish and fishermen can co-exist; that we are linked in the global food supply network and that ecosystems are the level of management action. This requires new processes for science and management, as well as engagement of the participants. Participants must experience change also. There is a shift from blame to more responsibility and engagement; bottom up vs top down and a focus on solutions rather than problems.



Figure 3. Stakeholder meetings in Elmina

#### **Team building**

This is a theme woven throughout each day and a major benefit of the two week experience. The bigger the things are that we want to do in the world; the less likely we can do them alone. The ability to work effectively in teams, to lead teams, to communicate and network among teams are essential skills if we are to have more sustainable fisheries worldwide. The course is fortunate to bring a large cross section of stakeholders to the training, so that they can take advantage of a rare focused opportunity to work together, live together and learn together. Participants returning from the course can begin establishing a critical mass to support one another in applying the new information and approaches to their work upon their return. Participants will leave with a deeper level of appreciation of their own talents and those of their colleagues, practical skills in team work, personal growth and a deep bonding with other participants that is remarkable.

The table below highlights the core competences (knowledge, skills and attitudes) covered in this course. The degree of coverage and depth provided will vary based on the needs of the participants.

# Knowledge, Skills and Attitudes Shared in this Course

| Knowledge  | Skills   | Attitudes  |
|--|--|--|
| Fisheries is an inter-<br>related complex<br>system                              | Identify, understand and act intelligently within the system   | I need to consider the consequences of actions throughout the system   |
| Knowledge of oneself   | Identifying ones strengths, weaknesses and limiting beliefs, and how it stops you from moving forward.                           | I am a leader – I am important   |
| Leadership of others   | Developing empathy and build trust   | I must be genuine  |
| Teamwork – challenges and good practices   | Strategies and action to build and maintain teams  | Teams are more effective in changing a system - ubunto   |
| Small Pelagics Fishery in Ghana  |  | Small actions can change the system  |
| Conflict Management  | Identifying the roots of a conflict and how to identify the interests of each part   | Conflict can be energizing and productive to reaching consensus. To achieve this I must understand the interests of all parties. |
| Extension tools for understanding communities                                    | Methods for observing and inquiring fishing community  | You need to spend time with people in their place to know them best  |
| Basics of Fisheries<br>Management  |  |  |
| Co-management<br>benefits, challenges<br>and design options                      | Able to identify different<br>types of co-management<br>and identify factors for<br>success. Outline a Co-<br>management process | Shared resources can be jointly managed by stakeholders without tragedy of the commons   |
| Essential elements of a fisheries management plan including goals and objectives | Outline a fisheries management plan  | A goal or objective must be linked to harvest rules and be measurable  |
| Understand how other countries address their fisheries challenges                | Identify challenges in<br>Ghana for advancing<br>fisheries management  | Fisheries can be turned around   |

| Knowledge  | Skills   | Attitudes   |
|--|--|---|
| Participation and facilitation approaches to engage stakeholders | Design and facilitate stakeholder meetings to discuss and identify preferences for small pelagics action options | Stakeholder engagement can lead to improved strategies, change from short term to long term view. |
|  | Analyze the Ghana Fisheries Management Plan and identify areas for improvement                                   |   |
| Understand fisheries management approaches and tools             | Assess action options based on management objectives   | Actions ripple through the governance and benefits of the system                                  |
| Scenario planning as a method for moving into the future         | Design and facilitate a scenario planning exercise   | Plan for unintended consequences  |
| Science of behavior change                                       | Diagnose and create strategies for behavior change   | Behavior change isn't random, and there are proven methods to shape the path                      |
| Compliance and<br>Enforcement Concepts<br>and Strategies         | Identify options for compliance vs enforcement   | Rarely is there enough enforcement to achieve goals   |

#### **LEARNING METHODS**

The course uses a range of methods including lectures, field exercises, small group work, simulations and case studies to create a robust interactive and dynamic environment to learn new insights and skills for managing fisheries more sustainably. Stakeholders will speak on their experiences to enhance and expand perspectives through this learning exchange. The course stresses leadership development, focusing on transformational change at the individual through societal levels. Each day's curriculum weaves in teambuilding, a major benefit of the two-- week experience. Participants develop individual action plans for applying course learning in their jobs on return home.

#### **ASSESSMENT METHODS**

The learning of participants is assessed through group exercises, classroom discussions, an evaluation and a final summative group strategy activity. There are no formal quantitative assessments conducted, though they could be added as needed.

#### **COURSE EVALUATION**

A final formal course evaluation form is administered to document participants' response to the quality and content of the course materials. An evaluation form template is available in the course materials electronic folder. In addition to the written evaluation, we also conduct a closing circle, which provides the personal space for participants and facilitators to share what the course meant to them.

#### **CONTENT AND MATERIALS**

The course agenda displays the sessions and content covered. All session plans, handouts, exercise, presentations and associated materials are available electronically by SFMP.

#### **NEEDS ASSESSMENT**

No formal needs assessment was conducted. Based on our large partnership and time working on the project, we had detailed insights into the capacity gaps and needs. Much of the course curriculum was developed through the previous iterations of this course. A rapid needs assessment is recommended if the training group doesn't have a firm understanding of the situation and the needs of participants. The course design also allows time for asking participants about their needs and providing changes in sessions so as to tailor the course to their needs.

#### **ELIGIBILITY**

This course is for individuals who are actively engaged in fisheries management or for individuals whose work is affected increasingly by the issues of overfishing, biodiversity losses, ecosystem changes, food security and poverty. This program provides valuable feedback from peers and faculty to improve leadership skills and offers a rare opportunity for reflection and networking with leading fisheries science and management practitioners. Participants become part of a network of fisheries professionals who are using innovative approaches in their respective countries. Currently, due to the skills of the facilitators and the language of the existing materials, basic proficiency in English is required.

#### **VENUE**

A training room set up for PowerPoint presentations and group interactive activities is necessary. Access to nearby fishing ports is also necessary.



Figure 4. Elmina Port was the site of the course field activities.

#### **RESOURCE PEOPLE**

The course will should be facilitated by a team of at least two professionals to allow for a diversity of voices, keep energy levels high and collaboratively facilitate sessions. Additional guest speakers should be invited to share their experiences and technical skills.

Conducting a course also requires skilled administrative staff. There should be one lead administrator to coordinate all venue, meals, lodging, travel and visa issues. One to two administrative staff members should be assigned to be in the training room at all times to handle printing, venue and miscellaneous issues as they arise.

#### **OUTPUTS**

The team (class participants) is asked to develop a vision that could bring diverse stakeholders together and start a negotiation process from an area of shared values. At the end of the course and as a summative assessment activity, the participants are asked to work in two groups to produce recommendations for advancing the management of small pelagics in Ghana. Their strategies should be presented to guest resource people, as if they were senior government policy makers.

## **APPENDIX. 2015 Course Agenda**

## **AGENDA**

### Sunday, August 2, 2015

6:30 pm - Reception and dinner at *Pempamsie* hotel & Conference Center 2<sup>nd</sup> Ridge, Cape Coast Ghana

Phone number: +233 0332137913 / 0332137909

### DAY 1 Monday, August 3, 2015: Systems and Leadership

Bus pick up at 8:30am

| Time  | Topic                       | Topics Covered                                  | Who/How  |
|-------|-----------------------------|---|--|
| 9:00  | Opening/                    | Official project/institutions                   | Papa Yaw   |
|       | Prayer                      |   | UCC Vice chancellor – Prof. D.D.<br>Kuupole          |
|       |                             |   | USAID Ghana – Dr. Shape,<br>Economist                |
|       |                             |   | SFMP – Dr. Crawford                                  |
| 9:40  | Welcome<br>and<br>Logistics |   | Nafisa / Lizzy / Sheila                              |
| 10:00 | Coffee break                |   |  |
| 10:15 | Course<br>Overview          | Leadership, Teamwork,<br>Fisheries Management   | Glenn/PPT  |
| 10:30 | Introductions               | The Six Stories You Need to<br>Know how to tell | Glenn/Exercise                                       |
| 12:00 | Fisheries<br>Systems        | Whole system of actors                          | Kathy/ Poster  |
| 12:30 | Lunch                       |   |  |
| 1:30  | Leadership                  | Self Knowledge                                  | Kathy/   |
|       | Step 1:                     |   | Colors personality test                              |
|       | Leadership<br>of Self       |   | Individual work that will be shared in small groups. |
| 3:15  | Break                       |   |  |
| 3:30  | Leadership                  | Knowledge of Others:                            | Glenn and Kathy/                                     |

| Time | Topic                              | <b>Topics Covered</b>   | Who/How  |
|------|------------------------------------|---|--|
|      | Step 2:<br>Leadership<br>of Others | <ul><li>Working as a Team</li><li>Understanding others</li><li>Building Trust</li></ul> | Using results of colors test,<br>discussion about teamwork<br>Hands on activities to illustrate<br>leadership and teamwork |
| 4:30 | Adjourn                            |   |  |

# DAY 2 Tuesday, August 4, 2015: Systems Thinking and Community assessments

Bus pickup at 8:00 am

| Time  | Topic   | Covered material   | Who/How                                  |
|-------|---|--|--|
| 8:30  | Profile of the<br>small pelagic<br>fisheries system<br>in Ghana | System- where are you now?   | Joe and Najih                            |
| 9:30  | We all have<br>different<br>perceptions                         | System dynamics. Mapping out the players                                     | Kathy/Glenn                              |
| 10:30 | Coffee Break  |  |  |
| 10:45 | Conflict<br>Management  | Understanding the roots of<br>the conflict, Strategies for<br>moving forward | Glenn/Discussion and Negotiation<br>Game |
| 12:30 | Lunch   |  |  |
| 1:30  | Community profiles  | Getting to know the system   | Kathy/Glenn                              |
| 3:15  | Break   |  |  |
| 3:30  | Community profiles (continued)                                  | Developing strategies for field trip   | Kathy/Glenn                              |
| 4:30  | Adjourn   |  |  |

# DAY 3 Wednesday, August 5, 2015: Field Trip to Elmina (community profiling) Bus pickup at 8:30- Directly to Elmina

| Time  | Topic      | Material  | Who/How |
|-------|------------|---|---------|
| 9:00  | Field trip | Task is to visit port to understand the system. Try to learn something new. | All     |
| 12:30 | Lunch      | @UCC  |         |

| Time | Topic                 | Material                                       | Who/How           |
|------|-----------------------|--|-------------------|
| 1:30 | Process Field<br>Trip | Allow time for groups to prepare presentations | Kathy/Glenn/Najih |
|      |                       | Consolidate all the new information            |                   |
|      |                       | How does this the process?                     |                   |
| 3:00 | Coffee break          |  |                   |
| 3:30 | Fish Prints           | Have artistic fun                              | All               |
| 5:00 | Adjourn               |  |                   |

# DAY 4 Thursday, August 6, 2015: Moving ahead- How do you bring about change

| Time  | Topic  | Material   | Who/How |
|-------|--|--|---------|
| 8:30  | Basics of<br>Fisheries<br>Management                 | Where do you want to be?  Thinking like a fisheries manager                      | Kathy   |
|       |  | Basic concepts of renewable resource management                                  |         |
|       |  | The reality of small scale fishing   |         |
|       |  | Types of management systems  |         |
|       |  | Code of responsible fisheries  |         |
|       |  | Thinking like a fisheries management leader                                      |         |
| 10:30 | Coffee Break   |  |         |
| 10:45 | Thinking Like<br>a Fisheries<br>Management<br>Leader | How to consider the needs<br>of the entire fishery system<br>and show leadership | Kathy   |

| Time  | Topic              | Material   | Who/How     |
|-------|--------------------|--|-------------|
| 12:30 | Lunch              |  |             |
| 1:30  | Behavior<br>Change | How do you lead change for co-management?  How do you change ways of thinking and ethics  Steps for behavior change  Role of extension | Kathy/Glenn |
| 3:15  | Break              |  |             |
| 3:30  | Behavior<br>Change | Continue   | Kathy/Glenn |
| 4:30  | Adjourn            |  |             |

## DAY 5 Friday, August 7, 2015: Co-management

| Time  | Topic   | Material  | Who/How                        |
|-------|---|---|--------------------------------|
| 8:30  | Co-<br>management<br>Concepts<br>and<br>Principles      | Types of Co-management What it is? Continuum Where are you now? Where do you want to be? Process to get there | Kathy/Glenn                    |
| 9:30  | Conditions<br>for success<br>in co-<br>management       | Ostrom's Principles for<br>Natural Resources<br>Management of the Commons                                     | Kathy                          |
| 10:30 | Coffee<br>Break   |   |                                |
| 10:45 | Legal<br>System   | Current legal status of co-<br>management in Ghana  | Godfrey                        |
| 12:30 | Lunch   |   |                                |
| 1:30  | What will<br>co-<br>management<br>look like in<br>Ghana | Scale Stakeholders Process Roadblocks General Needs   | Dr. Martin Tsamenyi or Godfrey |

| Time | Topic   | Material                               | Who/How                                   |
|------|---|--|---|
| 2:15 | Case Study – Malawi                           | Analysis of Co-management in Malawi    | Daniel Jamu/ Presentation                 |
| 3:00 | Break   |  |   |
| 3:15 | Case<br>Studies –<br>Philippines<br>and Japan | Process Preparation Roadblocks Results | Kathy/Doris/ Presentations and Group Work |
| 4:30 | Adjourn                                       |  |   |

## Saturday August 8, 2015:

### OPTIONAL FIELD VISIT

To national park and ostrich farm. Lunch out.

DAY 6 Monday, August 10, 2015: The Management Plan and action items

| Time             | Topic                   | Covered material   | Who/How   |
|------------------|-------------------------|--|---|
| <b>Time</b> 8:30 | Topic  Management Plans | Parts and pieces of a management plan  Vision Objectives Where are you- status of resources  Where do you want to be-  | Puzzle exercise as large group.  Many cards with many things on it. They would need to eliminate some and arrange in order  PPT |
|                  |                         | reference points or sustainability criteria  How will you get there: Harvest control rules and control strategies  How will you know? Monitoring, evaluation and Enforcement | Examples to look at (small groups)  MRAG Guide  |

| Time  | Topic   | Covered material   | Who/How  |
|-------|---|--|--|
|       |   |  |  |
| 10:30 | Break   |  |  |
| 10:45 | Elements of existing small pelagics management plan for Ghana  Problem Analysis | Are all the parts there? Existing rules and what's happening Will it work? What will need to happen? | Kathy/Glenn  |
| 12:30 | Lunch   |  |  |
| 1:30  | Identifying<br>Action Items   | Role play – identify potential action items.  Introduce 9 action items proposed.                     | Perhaps split into groups and have them read and explain 3 each. |
| 3:15  | Break   |  |  |
| 3:30  | Introduction<br>to Scenario<br>Planning   | What is it and how can it help with stakeholder dialogue?  | Kathy  |
| 4:30  | Adjourn   |  |  |

# DAY 7 Tuesday, August 11, 2015: Preparation for Field Trip for stakeholder discussion

| Time  | Topic                               | Material  | Who/How     |
|-------|-------------------------------------|---|-------------|
| 8:30  | Scenario<br>Planning -<br>continued | Work through the 9 action items to understand the ripples through the system.  Bundling to avoid winners/losers | Kathy/Glenn |
| 10:30 | Coffee break                        |   |             |
| 10:45 | Continue with                       | What's missing? Helping   | Kathy/Glenn |

| Time  | Topic                                 | Material   | Who/How         |
|-------|---------------------------------------|--|-----------------|
|       | scenario<br>planning                  | the community  |                 |
| 12:30 | Lunch                                 |  |                 |
| 1:30  | Facilitation<br>Skills                | Role play how to engage everyone and create a space for discussion |                 |
|       |                                       | What are the 7Ps of planning a meeting?                            |                 |
|       |                                       | How to deal with challenging situations?                           |                 |
| 3:15  | Break                                 |  |                 |
| 3:30  | Stakeholder<br>Meeting<br>Preparation | Planning stakeholder meeting and good practices in Ghana.          | Glenn and Kathy |
| 4:30  | Adjourn                               |  |                 |

# DAY 8 Wednesday, August 12, 2015: Wednesday Field Trip to Elmina (meeting)

| Time  | Topic                 | Material  | Who/How           |
|-------|-----------------------|---|-------------------|
| 8:30  | Field trip            | Hold stakeholder meeting to discuss   | All               |
| 12:30 | Lunch                 | @UCC  |                   |
| 1:30  | Process<br>Field Trip | Allow time for groups to prepare presentations  Consolidate all the new information | Kathy/Glenn/Najih |
| 4:30  | Adjourn               |   |                   |

DAY 9 Thursday, August 13, 2015: Leadership and the draft action plan

| Time  | Topic  | Material  | Who/How     |
|-------|--|---|-------------|
| 8:30  | Leadership<br>at<br>Organizatio<br>nal Level:<br>Leading the<br>Nation | This is the ability to establish a winning strategy, the right priorities and an effective process  | Kathy       |
| 10:00 | Break  |   |             |
| 10:15 | Compliance<br>and<br>Enforcemen<br>t Strategies                        | Advanced strategies   | Brian       |
| 12:30 | Lunch  |   |             |
| 1:30  | Revisit and reinforce teamwork   | Define vision Role of each member of the team   | Kathy/Glenn |
| 3:00  | Break  |   |             |
| 3:15  | Draft Action<br>Plan   | How each person/organization will contribute to process of moving forward with action items management plan  Education, Facilitation of discussion, Action needed | Glenn/Kathy |
| 4.00  |  | Process to move forward   | GTP 4D      |
| 4:00  | Group<br>Photo   |   | SFMP        |
| 4:30  | Adjourn  |   |             |
| 6:30  | Graduation<br>Dinner   | Speeches by SFMP, USAID and Participants  | SFMP/PAX    |
|       |  | Handout Certificates  |             |

# DAY 10 Friday, August 14, 2015: Wrap up

| Time | Topic                                       | Material            | Who/How      |
|------|---|---------------------|--------------|
| 8:30 | Presentations and draft action plan wrap up | Group presentations | Participants |

| Time  | Topic           | Material               | Who/How           |
|-------|-----------------|------------------------|-------------------|
|       |                 |                        |                   |
| 11:00 | Debriefing      | Close group discussion | Kathy             |
| 11:30 | Evaluation      | Evaluation form        | Glenn             |
| 12:30 | Lunch           |                        |                   |
| 2:00  | Trainer Debrief |                        | SFMP and UCC only |
|       |                 |                        |                   |