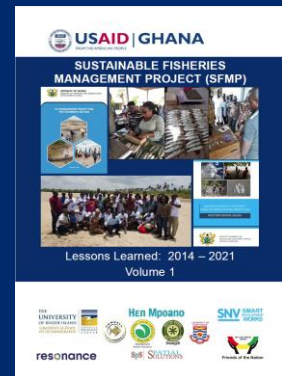
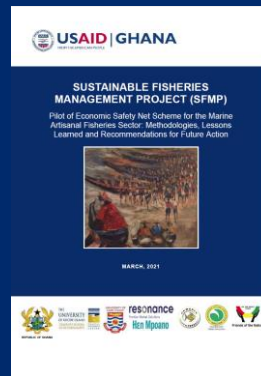
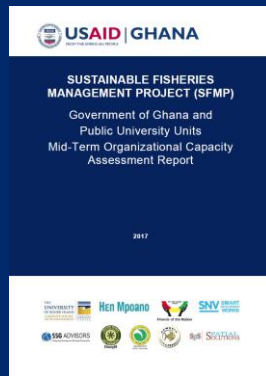
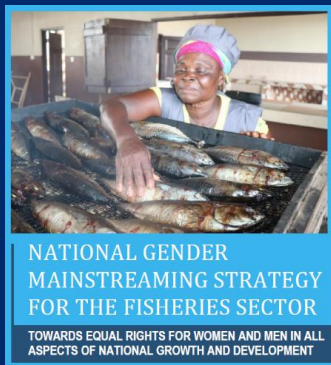
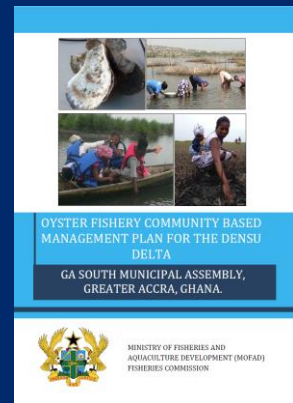
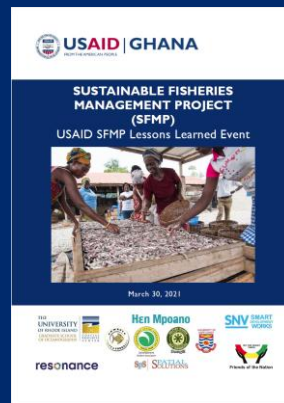
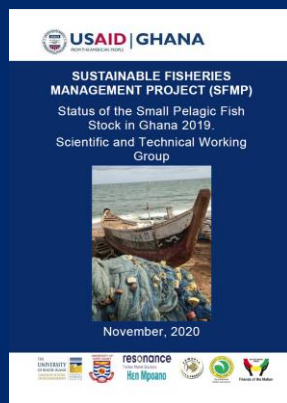
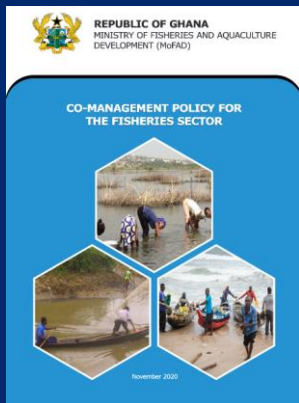




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SUSTAINABLE FISHERIES MANAGEMENT PROJECT (SFMP)



The Complete USAID/Ghana Sustainable Fisheries Management Project Bibliography 2014 to 2021

May 2021



Hen Mpoano



Friends of the Nation

This publication is available electronically in the following locations:

The Coastal Resources Center

https://www.crc.uri.edu/projects_page/sfmp/?section=publications

This webpage provides Abstracts of each document and allows filtering by project activity.

Ghanalinks.org

<https://ghanalinks.org/elibrary> use search term: SFMP or type in document title.

USAID Development Clearing House

<https://dec.usaid.gov/dec/content/search.aspx> use search term: Ghana SFMP or type in document title.

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<https://cewefia.weebly.com/>

CRC/URI

<https://www.crc.uri.edu>

DAA

<https://womenthrive.org/development-action-association-daa>

Daasgift

<https://daasgiftfoundation.org/>

FoN

<https://www.fonghana.org>

Hen Mpoano

<https://www.henmpoano.org>

Resonance Global

<https://resonanceglobal.com/>

SNV

<https://snv.org/usa>

ACRONYMS

ACECoR	African Center of Excellence Program
AOR	Administrative Officer Representative
CDA	Coastal Development Authority
CEWEFIA	Central and Western Region Fishmongers Improvement Association
CIC	Canoe Identification Card
CLaT	Child Labor and Trafficking
COVID-19	Coronavirus Disease 2019
CRC	Coastal Resources Center
CSIR	Council for Scientific and Industrial Research
CSO	Civil Society Organization
DAA	Development Action Association
DCPC	District Child Protection Committee
DFAS	Department of Fisheries and Aquatic Sciences
DOPA	Densu Oyster Pickers Association
FAO	Food and Agricultural Organization of the United Nations
FC	Fisheries Commission
FDA	Food and Drugs Authority
FEU	Fisheries Enforcement Unit
FON	Friends of the Nation
FSSD	Fisheries Statistical Survey Division
FTT	FAO Thiaroye Processing Technique
FWV	Fisheries Watch Volunteers
GHS	Ghana Cedis
GIFA	Ghana Inshore Fishermen's Association
GITA	Ghana Industrial Trawlers Association
GIS	Geographic Information System
GNCFC	Ghana National Canoe Fishermen's Council
GOG	Government of Ghana
GPS	Geographic Positioning System
HFIAS	Household Food Insecurity and Access Score
HM	Hen Mpoano
ICFG	USAID/Ghana's Integrated Coastal and Fisheries Governance Project
IEC	Information, Education and Communication
IR	Intermediate Results
IUU	Illegal Unreported Unregulated
LABEC	Landing Beach Enforcement Committee
LEAP	Livelihood Empowerment Against Poverty
MASLOC	Microfinance and Small Loans Center
MDDS-W	Minimum Dietary Diversity for Women Score

MMDA	Metropolitan, Municipal and District Assemblies
MOFAD	Ministry of Fisheries and Aquaculture Development
MOGCSP	Ministry of Gender, Children and Social Protection
MOH	Ministry of Health
MOI	Ministry of Information
MPU	Marine Police Unit
MSMEs	Micro, Small, and Medium-scale Enterprises
MTDP	Medium Term Development Plan
NAFAG	National Fisheries Association of Ghana
NAFPTA	National Fish Processors and Traders Association
NCCE	National Commission for Civic Education
NDPC	National Development Planning Commission
NGO	Non-Governmental Organization
NTS	National Targeting System
OCA	Organizational Capacity Assessment
PAHs	Polycyclic Aromatic Hydrocarbons
PHE	Population Health Environment
PPI	Poverty Probability Index
SFMP	Sustainable Fisheries Management Program
SNV	Netherlands Development Organization
STWG	Scientific and Technical Working Group
UAV	Unmanned Aerial Vehicle
UCC	University of Cape Coast
URI	University of Rhode Island
USAID	United States Agency for International Development
VPF	Virtual Platform for Fishers
VSLAs	Village Savings and Loans Associations
WARFP	West Africa Regional Fisheries Program

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INTRODUCTION

The goal of the five-year USAID/Ghana Sustainable Fisheries Management Project (SFMP) was to contribute to rebuilding of Ghana's important marine fish stocks through adoption of responsible fishing practices. The project contributed to the U.S. Government's Feed the Future Initiative (see [Fisheries and Food Security Brief](#)) and the Government of Ghana's fisheries development objectives. Funded by USAID/Ghana with matching support from the University of Rhode Island and other implementing partners, the inception of the project in October 2014, coincided with the implementation of a regional investment initiative in the fisheries sector by the World Bank of which Ghana was one of the beneficiary countries, the West Africa Regional Fisheries Program (WARFP). SFMP started just as Ghana's National Fisheries Management Plan (included in this legacy document collection) was being revised for adoption and implementation. The efforts of the project generated intense spotlight on the multiple challenges facing fisheries governance in Ghana and advocated for sustainability principles to be included in the National Fisheries Management Plan. The project was given a no-cost extension from the original completion date of October 2019 to September 2020, and further extended to April 2021 with a supplemental budget to address COVID-19 related challenges within the marine fisheries sector, following the outbreak of the disease in Ghana.

The SFMP was led by the University of Rhode Island's Coastal Resources Center at the Graduate School of Oceanography (CRC/URI), leveraging its experiences in the successful stewardship of a previous project, USAID/Ghana's Integrated Coastal and Fisheries Governance Project ([ICFG Lessons Learned, 2013](#)) which focused on both fisheries and coastal management concerns in the Western Region of Ghana from 2009 to 2014.

This volume is a compilation of the full citations for 517 policy, technical, outreach and program management reports that are available online at the SFMP project page https://www.crc.uri.edu/projects_page/sfmp/ which also provides abstracts of each document and allows filtering by project activity. In addition, the documents can be found on *Ghanalinks.org* --- <https://ghanalinks.org/elibrary> --- by using search term: SFMP or typing in document title or search term. The documents are also accessible through the *USAID Development Clearing House* --- <https://dec.usaid.gov/dec/content/search.aspx>. Use the search term: Ghana SFMP or type in document title for best results.

The documents are listed according to the overall project intermediate results framework of the SFMP which served as the structure for the annual work plans and progress reporting:

IR 1: Strengthened Enabling Environment for Marine Resources Governance

IR 2: Science and Research Applied to Policy and Management

IR 3: Creating Constituencies and Stakeholder Engagement

IR 4: Applied Management

IR 5: Gender Equality and Empowerment

IR 6: Public Private Partnerships

IR 7: Capacity Development of Targeted Institutions

COVID-19 Response:

COVID 1: Fisherfolk At Sites Adhere To COVID-19 Disease Prevention Protocols

COVID 2: Two Thousand Vulnerable Fisheries Households Avoid Extreme Poverty

COVID 3: Government of Ghana Has Evidence on Approaches for Effective Livelihood Assistance

The document listings within each IR are listed in approximate chronological order following the time when the event, research, or field activity was completed. However, in some instances report completion or final editing might have been prolonged or delayed by a revision process so the listings are not strictly according to the year the work took place.

The SFMP worked with a number of international and local implementing partners that were sub-recipients under the CRC/URI led banner. These included: Hen Mpoano and Friends of the Nation, both local advocacy and environmental Non-Governmental Organizations; Development Action Association and the Central and Western Region Fishmongers Improvement Association, both of which are membership based Civil Society Organizations focusing on capacity development for women fish processors and traders, and farmers; Daasgift Quality Foundation, a micro-finance NGO serving mostly a clientele of women in the Western Region, Spatial Solutions, a local consulting firm involved in coastal spatial planning; and two international groups – Resonance which led the public-private partnership activities focused on demonstrating mobile phone-based micro-insurance and savings plans in Ghana, and SNV Netherlands Development Organisation which supported post-harvest improvement, capacity development and gender mainstreaming strategies. For the COVID initiative, the University of Cape Coast's Centre for Coastal Management was added as an additional sub-recipient for assistance on monitoring and evaluation.

An online [SFMP Activity Tracker](https://crc-uri.maps.arcgis.com/apps/MapJournal/index.html?appid=07d6d52bcd36414e8ecf9adad17d212d) (<https://crc-uri.maps.arcgis.com/apps/MapJournal/index.html?appid=07d6d52bcd36414e8ecf9adad17d212d>) was created linking together the key SFMP thematic areas and related project activities, their location along the coast of Ghana, information on performance indicators, the extent to which project targets have been met and links to key documents, providing additional details on project activities and outcomes. The Activity Tracker serves as a useful tool for quickly accessing specific information about the project now, and in the future. The SFMP Activity Tracker is being upgraded into a Mapper to integrate spatial information on all [SFMP COVID Response](https://ccm-ucc.maps.arcgis.com/apps/MapJournal/index.html?appid=f4235557c34a45bea0e67f37084c1e07) (<https://ccm-ucc.maps.arcgis.com/apps/MapJournal/index.html?appid=f4235557c34a45bea0e67f37084c1e07>) activities in addition to spatial information on all key original SFMP activities.

Finally, three video documentaries were produced that summarize SFMP accomplishments, lessons learned and the way forward for the marine artisanal fisheries sector in Ghana. These video documentaries which cover the same topics as the three volumes contained in this legacy set can be found on the CRC YouTube channel (<https://www.youtube.com/user/URICRC>) along with other videos produced by the project.

Lessons Learned Event and Final Documentation

The SFMP organized a lessons-learned event on March 30, 2021 to share experiences and challenges encountered by the project during its implementation with stakeholders in the fisheries sector. In attendance were a number of fisheries stakeholders. The Deputy Chief of Mission of the US Embassy in Ghana, the Hon. Minister for MOFAD, members of the academia, URI, SFMP's Implementing Partners, staff of MOFAD/FC, fisheries associations, and other development partners. The event was held online via the Zoom platform. The SFMP took the opportunity to show its video documentaries to participants and made presentations on the project's COVID19 response lessons learned.

The final three-volume set of Lessons Learned reports includes brief descriptions and links to several dozen key documents which best illustrate the key elements of the project's

implementation and results. The lessons learned essays on key thematic areas of the project with supporting evidence of project accomplishments and results, as well as recommendations for the way forward for Ghana's fisheries sector. These themes are similar to but do not exactly match the original SFMP project design structure that is used in this book of citations and abstracts.

The lessons-learned volumes are available on-line at the following links:

Sustainable Fisheries Management Project Lessons Learned: 2014-2021, Volume 1 (policy development, co-management and constituency building, science for management)

https://www.crc.uri.edu/download/GH2014_PGM354_CRC_FIN508.pdf

Sustainable Fisheries Management Project Lessons Learned: 2014-2021, Volume 2 (post-harvest, child labor and trafficking, institutional strengthening, gender mainstreaming)

https://www.crc.uri.edu/download/GH2014_PGM355_CRC_FIN508.pdf

Sustainable Fisheries Management Project Lessons Learned: 2020-2021, Volume 3 (COVID response) https://www.crc.uri.edu/download/GH2014_PGM356_CRC_FIN508.pdf

Throughout the implementation of the USAID/Ghana Sustainable Fisheries Management Project (2014 to 2021) a variety of information, education and communication materials were produced. [A Compilation of USAID/Ghana Sustainable Fisheries Management Project Success Stories: 2014- 2021](#) captures 43 "success stories" and "Telling Our Story" materials submitted to USAID as part of quarterly and annual reports as well as on topics of special interest.

PROJECT DOCUMENTS BY EXPECTED INTERMEDIATE RESULTS

IR 1: Strengthened Enabling Environment for Marine Resources Governance

This intermediate result aimed to achieve a combination of policy initiatives carried out at national level with the Ministry of Fisheries and Fisheries Commission and with significant stakeholder engagement. National activities, especially concerning small pelagic stocks needed to be coordinated at the Guinea Current Regional scale. Law enforcement and child labor and trafficking activities undertook a two-track approach with national policy level components coupled to field efforts. Strengthening law enforcement capacities reached down to each coastal region and the two enforcement command groups for the Western and Eastern coastal regions. With regard to child labor and trafficking, the focus was in the Central Region, where this practice was considered most pervasive. Although not all of the activities on the legal and policy reform front translated into concrete results, enough momentum was generated for continued impact that can translate into improved fisheries management in the future, well after the project ends.

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http://www.crc.uri.edu/download/GH2014_POL011_FoN_FIN508.pdf

Friends of the Nation. (2015). **Baseline for Prosecutions: Summary of Fisheries Arrests and Prosecution in the Western and Eastern Commands**. The USAID/Ghana Sustainable Fisheries Management Project (SFMP). Narragansett, RI: Coastal Resources Center, Graduate School of Oceanography, University of Rhode Island and Friends of the Nation. GH2014_POL013_FoN. 30 pp. SEE ALSO POL039 8 page report
http://www.crc.uri.edu/download/GH2014_POL013_FoN_FIN508.pdf

Friends of the Nation. (2015). **Prosecutorial Chain Workshop, Western Region, Proceedings**. The USAID/Ghana Sustainable Fisheries Management Project (SFMP). Narragansett, RI: Coastal Resources Center, Graduate School of Oceanography, University of Rhode Island and Friends of the Nation. GH2014_POL014_FoN. 12 pp.
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IR 2: Science and Research Applied to Policy and Management

The SFMP set out to improve fisheries management processes by engaging scientific research and findings as part of the driving forces and rationale for management. This included strengthening the research and stock assessment capacities of the Fisheries Commission's Fisheries Statistical Service Division to allow it to collect and analyze data and present it to policy and decision makers. A science and technical working group was formed with the aim to provide sound scientific advice and analysis on proposed fisheries management measures and policies. Globally, Information and Communications Technology innovations are transforming the way fisheries information is collected and analyzed, and Ghana can apply a wealth of experience in this area. Science-based fisheries management has proven effective in many countries by restoring abundance of fish and shellfish, thus creating jobs and wealth for their societies. In keeping with the science-based fisheries management approach, complexity in fisheries compels managers to consider all available data or relevance to a particular fisheries problem. Articles 42 and 43 of the fisheries Act 625 (2002) state that fisheries management decisions "shall be based on the best scientific information available" and "shall specify the information and any other data required to be given or reported for the effective management and development of fisheries". These data require valuable resources and competencies but can be manageable if stakeholders can be engaged to assist in data collection as part of their ongoing seasonal observations on the fishing grounds. This model of partnership between stakeholders and researchers---known as "cooperative research"--- is well established in the U.S. and some West Africa (Senegal and Liberia). As part of the SFMP programs, fisheries research and data are considered the primary "building blocks" of information in making an effective management decision.

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IR 3: Creating Constituencies and Stakeholder Engagement

Creating constituencies and drawing political and public support for rebuilding the fish stocks is an essential part of sustainable fisheries management. Implementation of the communications component is guided by the 5-year SFMP Communications Strategy developed in Year 1 in coordination with implementing partners, the West Africa Regional Fisheries Project and Ministry of Fisheries/ Fisheries Commission communications staff.

The Communications Strategy features national level campaigns targeted at stakeholders to implement the early actions called for in Ghana's plan to rebuild the small pelagic fishery. A series of communications tools and channels are employed to reach out to both national level and community level stakeholders, focusing on messages that meet the needs of diverse target groups relative to the fisheries management options that will be implemented.

Behavior change communication became another important focus for reducing child labor and trafficking activities in the Central Region. This approach serves as well as to enhance the adoption of best practices in post-harvest fisheries activities. During the life of the project, the SFMP Communications Team was expanded to fully engage the communications focal persons of project implementing partners. The Team was able to enhance coordination and effective communications amongst the partners as well as enrich SFMP communications with diverse innovative ideas and capacity building opportunities for consistency in SFMP branding and documentation.

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IR 4: Applied Management

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IR 5: Gender Equality and Empowerment

Ghana has implemented gender legislation, however the need remains for additional policy reforms and other enabling conditions for men and women to realize their full rights and overcome gender inequities in the fisheries sector. Gender roles are delineated clearly, in general, with men often holding the greatest influence and authority over decision-making at all levels. That said, in fisheries, women play an influential, but less visible, role in the value-chain as processors and traders who connect products to markets. As a result, women often have low representation on co-management committees.

The SFMP has an important responsibility and numerous opportunities to break out of “business-as-usual.” practices and attitudes. As many women in Ghana own the fishing vessels and finance the fishing trips, they could wield considerable power over fishing decisions and influence changes in behavior that could have a positive impact on the fishery.. The SFMP worked especially closely with partners DAA, CEWEFIA, and NAFPTA who are engaged intensively with women in fisheries. Because women fish processors are also large consumers of fuel wood, of which mangrove is preferred, women could play a critical role in promoting sustainability of this supply. SFMP also asked men and women in the target project areas for their ideas on how to strengthen men’s and women’s roles in co-management to the benefit of fishing communities and households.

To ensure that the project assesses the impacts of its gender work and learns from experience, the project developed a gender mainstreaming strategy and learning agenda. As part of this learning agenda, the team reviewed the SFMP monitoring and evaluation plan to map out how to process and use the gender disaggregated data that will come out of the project’s routine monitoring. Gaps in the routine monitoring were addressed by complementary gender monitoring activities. A baseline study on women in leadership roles within SFMP fisheries stakeholder groups was carried out. The gender mainstreaming lessons learned were communicated through policy briefs, newsletter articles, and the project’s general quarterly reports.

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IR 6: Public Private Partnerships

The private sector has a critical role to play in SFMP efforts. Strategic partnerships bring together SFMP project resources with leading multinational and Ghanaian companies, business associations, foundations and government agencies in a collaborative effort, to advance SFMP project goals. Resources from both SFMP and partners included funding, expertise, technology, and brand leverage. Concept notes outline overall partnership goals, resource contributions, responsibilities and partnership governance, while Memoranda of Understanding (MOUs) formalized agreements between SFMP and partners. The lead partner for this work was SSG Advisors, which changed its name to Resonance Global during the life of the project, hence authorships and publishing credits refer to the same entity.

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IR 7: Capacity Development of Targeted Institutions

This activity aimed to strengthen local Government of Ghana partner organizational capacity to effectively implement sustainable fisheries initiatives, and to improve the quality and sustainability of services those organizations provide to their constituencies. Baseline organizational capacity assessments were conducted for nine participating government and research university units as well as eight civil society organizations, five of whom are SFMP implementing partners.

Based on the findings and recommendations of these assessments and the work planning process with partners, capacity development activities for these units were carried out and assessed. Significant support was provided in organizational development, staff development through training opportunities, and material support (equipment and capital).

A key outcome of the OCA process was to ensure that local partners of the project have robust systems and structures to enable them to improve their capacity to provide quality and sustainable services to their constituents by implementing and sustaining the fisheries sector results that SFMP supports, and also to improve their readiness and capacity to receive funding from donors, an increasingly important need, as donor strategies shift to more direct local project implementation.

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COVID-19 Response

Following the outbreak of the COVID-19 pandemic in Ghana in March 2020 it was considered that this unanticipated development could have dire consequences on the artisanal fisheries sector which is central to the economy and the livelihoods of 300,000 men and women in over 300 coastal communities given the communal nature of landing fish and the related post-harvest activities. It was challenging to keep fisher folks safe and healthy to sustain seafood supply and distribution, taking into consideration the mode of spread of the virus. Moreover, the absence of effective and pragmatic approaches to deliver social and economic safety net packages to vulnerable fishing households to mitigate the secondary impacts of the COVID-19 pandemic complicates the challenges. If these risks and challenges were not managed, the COVID-19 virus could quickly spread through fishing communities with devastating impacts including loss of lives and erode all gains of fisheries stakeholders towards sustainable management of the resource supported by SFMP. The re-imposition of any future partial area lockdowns or a full lockdown of the country and/or fishing communities could also endanger fisheries value chains, which provide livelihoods for close to ten percent of the population and a vital source of affordable, high-quality protein for Ghanaians. On May 28, 2020, the SFMP Cooperative Agreement with URI was modified to provide a 7-month cost extension through April 2021. A supplemental program description was provided with the following result areas elaborated to achieve the goal of the SFMP COVID-19 response initiative: “To prevent the spread and mitigate the economic effects of COVID-19 among vulnerable households in fishing communities in Ghana.”

The work was subdivided into three areas. The listing of documents follows this structure:

COVID 1: Fisherfolk at 300 landing sites, processing and/or fish markets sites better adhere to official COVID-19 disease prevention protocols.

COVID 2: Two thousand extremely vulnerable fisheries-dependent households avoid extreme poverty.

COVID 3: Government of Ghana has evidence on approaches for effective livelihood assistance to fishing communities affected by COVID-19.

COVID 1: Fisherfolk At Sites Adhere To COVID-19 Disease Prevention Protocols

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SELECTED EXTERNAL PUBLICATIONS

The following publications are thesis and dissertations of students at URI that were supported in whole or in part by SFMP. In addition, one peer reviewed journal article was published.

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